

*Penalty for presenting fraudulent claim is a fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 AND 3571*

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APEX CLEARING **WEBULL** Proceeds from Broker and Barter Exchange Transactions

2021 Form 1099-B\*  
01/31/2022

OMB No. 1545-0715

(continued)

### SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 8949, Part I with Box A checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.

| Description of property   CUSIP   Symbol(Box 1a)   |           |                   |                        | Accrued Market Discount      |   |                 |                  |
|--|-----------|-------------------|------------------------|------------------------------|---|-----------------|------------------|
| Date Sold or Disposed (Box 1c)   | Quantity  | Proceeds (Box 1d) | Date Acquired (Box 1b) | Cost or Other Basis (Box 1e) | (M)(Box 1f) & Wash Sale Loss Disallowed (D)(Box 1g) | Gain or Loss(-) | Additional Notes |
| ***SOS LTD AMERICAN DPSTRY SHRS ECH RPRSRNTNG TEN CL A SHARES   CUSIP: 83587W106   Symbol(Box 1a): SOS |           |                   |                        |                              |   |                 |                  |
| 01/14/2021   | 6,983.00  | 19,901.11         | Various                | 13,459.26                    | 0.00  | 6,441.85        | Sale             |
| 01/19/2021   | 68.00     | 156.87            | 01/14/2021             | 198.81                       | 0.00  | -41.94          | Sale             |
| 01/19/2021   | 1.00      | 2.29              | 01/14/2021             | 2.92                         | 0.00  | -0.63           | Sale             |
| 01/19/2021   | 3,585.00  | 8,181.80          | 01/14/2021             | 10,481.57                    | 0.00  | -2,299.77       | Sale             |
| Security Totals:   |           | 32,487.05         |                        | 27,425.28                    |   | 5,061.77        |                  |
| ***PLATINUM GROUP METAL LTD COM NO PAR 2018   CUSIP: 727650882   Symbol(Box 1a): PLG                   |           |                   |                        |                              |   |                 |                  |
| 01/04/2021   | 16.00     | 73.26             | 12/10/2020             | 78.24                        | 0.00  | -4.98           | Sale             |
| META MATLS INC COMMON STOCK   CUSIP: 59134N104   Symbol(Box 1a): MMAT                                  |           |                   |                        |                              |   |                 |                  |
| 07/07/2021   | 44,751.00 | 266,360.66        | Various                | 218,924.08                   | 25036.74  | D               | 72,473.32 Sale   |
| 09/27/2021   | 47,851.00 | 266,569.67        | Various                | 291,450.39                   | 34094.48  | D               | 9,213.76 Sale    |
| 10/01/2021   | 1,000.00  | 5,549.95          | Various                | 7,884.27                     | 2334.32   | D               | 0.00 Sale        |
| 10/04/2021   | 2,000.00  | 10,199.70         | Various                | 16,340.20                    | 6140.50   | D               | 0.00 Sale        |
| 10/06/2021   | 1,000.00  | 4,979.85          | Various                | 8,674.69                     | 3694.84   | D               | 0.00 Sale        |
| 10/06/2021   | 4,000.00  | 19,959.41         | Various                | 36,479.17                    | 16519.76  | D               | 0.00 Sale        |
| 10/26/2021   | 1,000.00  | 4,479.95          | 09/29/2021             | 9,144.24                     | 4664.29   | D               | 0.00 Sale        |
| Security Totals:   |           | 578,099.19        |                        | 588,897.04                   |   | 81,687.08       |                  |
| BSQUARE CORPORATION   CUSIP: 11776U300   Symbol(Box 1a): BSQR  |           |                   |                        |                              |   |                 |                  |
| 02/10/2021   | 1,273.00  | 12,729.56         | Various                | 4,922.54                     | 0.00  | 7,807.02        | Sale             |
| BIOCRYST PHARMACEUTICALS INC   CUSIP: 09058V103   Symbol(Box 1a): BCRX                                 |           |                   |                        |                              |   |                 |                  |
| 01/19/2021   | 1,498.00  | 13,151.96         | Various                | 11,622.77                    | 0.00  | 1,529.19        | Sale             |
| ROKU INC CLASS A COMMON STOCK   CUSIP: 77543R102   Symbol(Box 1a): ROKU                                |           |                   |                        |                              |   |                 |                  |
| 01/14/2021   | 2.00      | 836.17            | 01/08/2021             | 784.60                       | 0.00  | 51.57           | Sale             |

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.